

Corestone Account® Application

STEP 1. ESTABLISH YOUR CORESTONE ACCOUNT

Account Title	Account Number
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STEP 2. SELECT ACCOUNT FEATURES

Please select **ONE** option under the appropriate Corestone Account Level.

Platinum Level

- Checks and a Platinum Debit Card
 Checks ONLY
 Platinum Debit Card ONLY

Gold Level

- Checks and a Gold Debit Card
 Checks ONLY
 Gold Debit Card ONLY

Silver Plus Level

- Checks and a Silver Debit Card
 Silver Debit Card ONLY

Silver Level

- Checks ONLY

Check Style

If you chose an account with checks, please select a check style.

- Personal wallet checks (default option) Personal duplicate checks Business style checks
 Electronic (checking account information provided via a letter)

STARTING CHECK NUMBER DEFAULTS TO 0101.

Check Options

The name and address that appears on your checks will be taken from the primary mailing address on your brokerage account unless you select one of the following.

- No address on checks
 Print the alternate mailing address on my checks from "Alternate Mailing Address" section below

You may check the box below to add one extra line of information on your checks, such as your telephone number. Please enter any additional information you would like to include (maximum of 32 characters, including spaces).

- Additional information _____

Alternate Mailing Address (optional)

All checks, checking information letter and/or debit cards will be sent to the primary mailing address for your brokerage account unless an alternate mailing address is entered below for the delivery of the initial order.

Address		
City	State	Zip/Postal Code
Province/County/Subdivision		Country

IF YOU WANT THIS ALTERNATE ADDRESS TO APPEAR ON YOUR CHECKS, BE SURE TO CHECK THE APPROPRIATE BOX IN "CHECK OPTIONS".

If you are requesting an alternate mailing address, the primary account owner (for individual and joint accounts) or authorized person (for corporate, trusts or other entity accounts) must sign and date here.

Print Name	Date
Signature	



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STEP 3. ENROLL IN REWARDSUITE™

Optional: Available ONLY for Gold and Platinum Debit Card holders.

RewardSuite lets you earn points for eligible debit card purchases. Exciting point redemption options include air travel, gift cards or cash back. To learn more about the RewardSuite program and eligible purchases, please visit the RewardSuite website at www.myrewardsuite.com. Clients signing up for RewardSuite must abide by the program’s terms and conditions.

Enroll in RewardSuite

STEP 4. ENROLL IN BILLSUITE™

Optional: Available ONLY for accounts with checkwriting that are domiciled in the U.S.

Billsuite enables you to view, pay and manage your bills online. Billsuite is available for all Corestone Accounts that have checkwriting privileges at no additional charge. For details please check with your Financial Professional and/or your Introducing Financial Institution.

Enroll in Billsuite

STEP 5. ADD A CORPORATE NAME TO YOUR CARD

Optional: Available ONLY for corporate accounts.

If you choose to receive a debit card, and would like the name of the corporation to appear on your Visa® debit card in addition to the cardholder’s name, please print the name of the corporation below (a maximum of 21 characters including spaces).

Name of Corporation

STEP 6. ACCOUNT AGREEMENT

To open a Corestone Account, please read this section carefully and the following section to accept the terms.

I hereby authorize my financial organization to open a brokerage account with Pershing LLC (“Pershing”) for and under my Brokerage Account Number as indicated above. I further authorize PNC Bank, N.A. to issue Visa® debit card(s) and the Bank of New York Mellon to issue checks as indicated on this application. Prior to signing below, I have received and read the Corestone Account Agreement, as currently in effect and as amended from time to time, which governs my Corestone Account and associated debit card, checkwriting and related services, and I agree to be bound by such Account Agreement.

Interest on debit balances will be charged and compounded in accordance with the Corestone Account Agreement, as applicable.

New York Stock Exchange Rule 407 prohibits certain account holders from engaging in margin transactions without their employer’s prior written consent. Pershing may suspend execution of any trades in my account pending receipt of this consent.

I UNDERSTAND THAT PERSHING WILL GRANT ME MARGIN PRIVILEGES FOR MY CORESTONE ACCOUNT UNLESS PROHIBITED BY LAW, OR BY MY REQUEST AS INDICATED BY CHECKING THE BOX BELOW.

I UNDERSTAND THAT IF I HAVE ALREADY BEEN APPROVED FOR MARGIN PRIVILEGES, AND HAVE MARGIN PAPERS ON FILE; MY ACCOUNT WILL AUTOMATICALLY BE CODED FOR MARGIN EVEN IF I CHECK THE BOX BELOW. IF I WISH TO REMOVE MARGIN FROM MY ACCOUNT, I SHALL CONTACT MY FINANCIAL ORGANIZATION.

I do not want margin privileges.

This agreement, with respect to all portions of Corestone Account, including interest charges on loans Pershing may make to me, will be governed by, and interpreted under, the laws of the State of New York. The terms of my agreement with PNC Bank, N.A. are governed by Pennsylvania law.

By signing this application, I accept the terms of the enclosed Corestone Account Agreement, Financial Terms card, Billsuite and RewardSuite program rules where applicable.

STEP 7. SIGNATURES OF ACCOUNT OWNER(S), AUTHORIZED PERSONS AND ADDITIONAL SIGNATORIE(S) (MANDATORY)

All Individual and Joint Account Owners must sign below and agree to the terms of the Account. For corporate, limited liability company, partnership, trust or other legal entity Accounts, all authorized persons who have authority over the Account must sign below and agree to the terms of the Account.

BY SIGNING THIS APPLICATION, I ACKNOWLEDGE THAT SECURITIES NOT FULLY PAID FOR BY ME MAY BE LOANED TO PERSHING OR LOANED OUT BY PERSHING TO OTHERS.

I AGREE THAT THIS AGREEMENT CONTAINS A PREDISPUTE ARBITRATION CLAUSE, WHICH IS LOCATED IN SECTIONS B.23 AND B.24 ON PAGES 4 AND 5 IN THE AGREEMENT. I ACKNOWLEDGE RECEIVING A COPY OF THIS AGREEMENT.

Print Name (maximum 21 characters)	Social Security or Tax ID Number	Date of Birth	
Signature X	Date	Mother's Maiden Name or Code Name (max 13 characters)	Debit Card <input type="checkbox"/> Yes <input type="checkbox"/> No

Print Name (maximum 21 characters)	Social Security or Tax ID Number	Date of Birth	
Signature X	Date	Mother's Maiden Name or Code Name (max 13 characters)	Debit Card <input type="checkbox"/> Yes <input type="checkbox"/> No

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Signature X	Date	Mother's Maiden Name or Code Name (max 13 characters)	Debit Card <input type="checkbox"/> Yes <input type="checkbox"/> No

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Print Name (maximum 21 characters)	Social Security or Tax ID Number	Date of Birth	
Signature X	Date	Mother's Maiden Name or Code Name (max 13 characters)	Debit Card <input type="checkbox"/> Yes <input type="checkbox"/> No

Print Name (maximum 21 characters)	Social Security or Tax ID Number	Date of Birth	
Signature X	Date	Mother's Maiden Name or Code Name (max 13 characters)	Debit Card <input type="checkbox"/> Yes <input type="checkbox"/> No

Additional Signatories

Optional: For Checkwriting ONLY. If you have an individual or joint account and would like to authorize individuals who are not listed in the account registration to sign checks, each additional signatory must provide all information and sign below.

Print Name (maximum 21 characters)	Social Security or Tax ID Number	Date of Birth	
Signature X	Date	Mother's Maiden Name or Code Name (maximum 13 characters)	

Print Name (maximum 21 characters)	Social Security or Tax ID Number	Date of Birth	
Signature X	Date	Mother's Maiden Name or Code Name (maximum 13 characters)	

The primary account owner authorizes the additional signatories on this application by signing below.

Primary Account Owner Name	Date
Signature X	

Corestone Account Application

Account Number []-[]-[]-[]-[]-[]-[]-[]-[]-[]-[]-[]-[]-[]-[]

FINANCIAL ORGANIZATION USE ONLY

To be approved by an authorized person at the financial organization.

The undersigned organization guarantees that the signature(s) on this application is/are that of the Account owner(s)/Authorized Person or is/are authorized by the Account owner(s). We have reviewed and approved this Account and determined, where applicable, that the Account is suitable for margin trading.

Financial Organization	
Name	Title
Signature X	Date []-[]-[]-[]-[]-[]-[]-[]-[]-[]-[]-[]