

Trade Manage Capital, Inc.

299 Market Street Suite 410
Saddle Brook, NJ 07663
201-587-2424

SEC-Required Report on Routing of Customer Orders For Quarter Ending 2017/09/30

Securities Listed on New York Stock Exchange / Network A Eligible Security

Summary Statistics

Non-directed orders as percentage of total customer orders	100.0 %
Market orders as percentage of total non-directed orders	14.30 %
Limit orders as percentage of total non-directed orders	85.70 %
Other orders as percentage of total non-directed orders	0.00 %

Venues Receiving Significant Percentage of Total Non-Directed Orders:

BARC	33.5 %
ARCAP	17.2 %
TRIM	16.9 %
ITGI	13.3 %
GSCO	8.4 %

Information Concerning Significant Venues :

1 BARC

Types of Orders Routed to
Venue:

Market orders as percentage of total market orders	8.64 %
Limit orders as percentage of total limit orders	37.63 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with
Venue:

This market center may provide rebates for providing liquidity which may offset charges for taking liquidity.

2 ARCAP

Types of Orders Routed to
Venue:

Market orders as percentage of total market orders	0.00 %
Limit orders as percentage of total limit orders	20.07 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with

Venue:

This market center may provide rebates for providing liquidity which may offset charges for taking liquidity. This may be the case for all market centers which are ECNs.

3 TRIM

Types of Orders Routed to

Venue:

Market orders as percentage of total market orders	49.07 %
Limit orders as percentage of total limit orders	11.50 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with

Venue:

This Contra is used for AMEX/NYSE orders that trade on the Third Market.

4 ITGI

Types of Orders Routed to

Venue:

Market orders as percentage of total market orders	27.21 %
Limit orders as percentage of total limit orders	11.04 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with

Venue:

This Contra will route orders on our behalf to market centers of their own choosing based on best-execution.

5 GSCO

Types of Orders Routed to

Venue:

Market orders as percentage of total market orders	6.45 %
Limit orders as percentage of total limit orders	8.78 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with

Venue:

This Contra will route orders on our behalf to market centers of their own choosing based on best-execution

Securities Listed on The NASDAQ Stock Market /

Summary Statistics

Non-directed orders as percentage of total customer orders	100.0 %
Market orders as percentage of total non-directed orders	11.30 %
Limit orders as percentage of total non-directed orders	88.70 %
Other orders as percentage of total non-directed orders	0.00 %

Venues Receiving Significant Percentage of Total Non-Directed Orders:

BARC	26.8 %
ARCAP	18.9 %
ITGI	15.8 %
NITE	12.9 %
ISLD	9.5 %

Information Concerning Significant Venues :

1 BARC

Types of Orders Routed to
Venue:

Market orders as percentage of total market orders	4.56 %
Limit orders as percentage of total limit orders	29.62 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with
Venue:

This market center may provide rebates for providing liquidity which may offset charges for taking liquidity.

2 ARCAP

Types of Orders Routed to
Venue:

Market orders as percentage of total market orders	0.00 %
Limit orders as percentage of total limit orders	21.28 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with
Venue:

This market center may provide rebates for providing liquidity which may offset charges for taking liquidity. This may be the case for all market centers which are ECNs.

3 ITGI

Types of Orders Routed to
Venue:

Market orders as percentage of total market orders	41.60 %
Limit orders as percentage of total limit orders	12.56 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with
Venue:

This Contra will route orders on our behalf to market centers of their own choosing based on best-execution.

4 NITE

Types of Orders Routed to

Venue:

Market orders as percentage of total market orders	33.52 %
Limit orders as percentage of total limit orders	10.23 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with

Venue:

This Contra is used for OTC orders that trade on the Third Market.

5 ISLD

Types of Orders Routed to

Venue:

Market orders as percentage of total market orders	0.00 %
Limit orders as percentage of total limit orders	10.73 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with

Venue:

This market center may provide rebates for providing liquidity which may offset charges for taking liquidity. This may be the case for all market centers which are ECNs.

Securities Listed on American Stock Exchange or Regional Exchanges / Network B Eligible Security

Summary Statistics

Non-directed orders as percentage of total customer orders	100.0 %
Market orders as percentage of total non-directed orders	26.50 %
Limit orders as percentage of total non-directed orders	73.50 %
Other orders as percentage of total non-directed orders	0.00 %

Venues Receiving Significant Percentage of Total Non-Directed Orders:

BARC	33.8 %
TRIM	22.3 %
ITGI	14.4 %
ARCAP	12.8 %
GSCO	7.9 %

1

Information Concerning Significant Venues :

1 BARC

Types of Orders Routed to
Venue:

Market orders as percentage of total market orders	2.20 %
Limit orders as percentage of total limit orders	45.24 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with
Venue:

This market center may provide rebates for providing liquidity which may offset charges for taking liquidity.

2 TRIM

Types of Orders Routed to
Venue:

Market orders as percentage of total market orders	48.46 %
Limit orders as percentage of total limit orders	12.86 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with
Venue:

This Contra is used for AMEX/NYSE orders that trade on the Third Market.

3 ITGI

Types of Orders Routed to
Venue:

Market orders as percentage of total market orders	28.19 %
Limit orders as percentage of total limit orders	9.37 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with
Venue:

This Contra will route orders on our behalf to market centers of their own choosing based on best-execution.

4 ARCAP

Types of Orders Routed to
Venue:

Market orders as percentage of total market orders	0.00 %
Limit orders as percentage of total limit orders	17.46 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with
Venue:

This market center may provide rebates for providing liquidity which may offset charges for taking liquidity.
This may be the case for all market centers which are ECNs.

5 GSCO

Types of Orders Routed to
Venue:

Market orders as percentage of total market orders	8.37 %
Limit orders as percentage of total limit orders	7.78 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with
Venue:

This Contra will route orders on our behalf to market centers of their own choosing based on best-execution

Exchange Listed Options

Summary Statistics

Non-directed orders as percentage of total customer orders	100.0 %
Market orders as percentage of total non-directed orders	54.50 %
Limit orders as percentage of total non-directed orders	45.50 %
Other orders as percentage of total non-directed orders	0.00 %

Venues Receiving Significant Percentage of Total Non-Directed Orders:

AMEX	100.0 %
------	---------

Information Concerning Significant Venues :

1 AMEX

Types of Orders Routed to Venue:

Market orders as percentage of total market orders	100.00 %
Limit orders as percentage of total limit orders	100.00 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with Venue:

This venue denotes orders sent to the floor of the AMEX where a \$2 Broker may handle the order.