

Trade Manage Capital, Inc.

299 Market Street Suite 410
Saddle Brook, NJ 07663
201-587-2424

SEC-Required Report on Routing of Customer Orders For Quarter Ending 2016/06/30

Securities Listed on New York Stock Exchange / Network A Eligible Security

Summary Statistics

Non-directed orders as percentage of total customer orders	99.8 %
Market orders as percentage of total non-directed orders	15.70 %
Limit orders as percentage of total non-directed orders	84.30 %
Other orders as percentage of total non-directed orders	0.00 %

Venues Receiving Significant Percentage of Total Non-Directed Orders:

BARC	34.4 %
CGMI	16.9 %
GSCO	15.1 %
ITGI	8.9 %
ARCA	7.9 %

Information Concerning Significant Venues :

1 BARC

Types of Orders Routed to
Venue:

Market orders as percentage of total market orders	6.04 %
Limit orders as percentage of total limit orders	39.66 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with
Venue:

This market center may provide rebates for providing liquidity which may offset charges for taking liquidity.

2 CGMI

Types of Orders Routed to
Venue:

Market orders as percentage of total market orders	42.97 %
Limit orders as percentage of total limit orders	12.00 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with

Venue:

This Contra will route orders on our behalf to market centers of their own choosing based on best-execution.

3 GSCO

Types of Orders Routed to

Venue:

Market orders as percentage of total market orders	2.90 %
Limit orders as percentage of total limit orders	17.40 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with

Venue:

This Contra will route orders on our behalf to market centers of their own choosing based on best-execution

4 ITGI

Types of Orders Routed to

Venue:

Market orders as percentage of total market orders	16.63 %
Limit orders as percentage of total limit orders	7.47 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with

Venue:

This Contra will route orders on our behalf to market centers of their own choosing based on best-execution.

5 ARCA

Types of Orders Routed to

Venue:

Market orders as percentage of total market orders	0.00 %
Limit orders as percentage of total limit orders	9.33 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with

Venue:

This market center may provide rebates for providing liquidity which may offset charges for taking liquidity. This may be the case for all market centers which are ECNs.

Securities Listed on The NASDAQ Stock Market /

Summary Statistics

Non-directed orders as percentage of total customer orders	95.9 %
Market orders as percentage of total non-directed orders	7.60 %
Limit orders as percentage of total non-directed orders	92.40 %
Other orders as percentage of total non-directed orders	0.00 %

Venues Receiving Significant Percentage of Total Non-Directed Orders:

BARC

24.0 %

CGMI	18.1 %
ARCA	16.8 %
ITGI	9.8 %
GSCO	8.9 %

Information Concerning Significant Venues :

1 BARC

Types of Orders Routed to
Venue:

Market orders as percentage of total market orders	5.29 %
Limit orders as percentage of total limit orders	25.50 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with
Venue:

This market center may provide rebates for providing liquidity which may offset charges for taking liquidity.

2 CGMI

Types of Orders Routed to
Venue:

Market orders as percentage of total market orders	46.03 %
Limit orders as percentage of total limit orders	15.79 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with
Venue:

This Contra will route orders on our behalf to market centers of their own choosing based on best-execution.

3 ARCA

Types of Orders Routed to
Venue:

Market orders as percentage of total market orders	0.00 %
Limit orders as percentage of total limit orders	18.13 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with
Venue:

This market center may provide rebates for providing liquidity which may offset charges for taking liquidity. This may be the case for all market centers which are ECNs.

4 ITGI

Types of Orders Routed to
Venue:

Market orders as percentage of total market orders	15.63 %
Limit orders as percentage of total limit orders	9.36 %

Other orders as percentage of total other orders 0.00 %

Material aspects of Relationship with

Venue:

This Contra will route orders on our behalf to market centers of their own choosing based on best-execution.

5 GSCO

Types of Orders Routed to

Venue:

Market orders as percentage of total market orders 3.67 %

Limit orders as percentage of total limit orders 9.34 %

Other orders as percentage of total other orders 0.00 %

Material aspects of Relationship with

Venue:

This Contra will route orders on our behalf to market centers of their own choosing based on best-execution

Securities Listed on American Stock Exchange or Regional Exchanges / Network B Eligible Security

Summary Statistics

Non-directed orders as percentage of total customer orders 99.4 %

Market orders as percentage of total non-directed orders 18.80 %

Limit orders as percentage of total non-directed orders 81.20 %

Other orders as percentage of total non-directed orders 0.00 %

Venues Receiving Significant Percentage of Total Non-Directed Orders:

ITGI 20.4 %

CGMI 20.2 %

BARC 19.9 %

ARCA 12.7 %

CTDL 10.9 %

1

Information Concerning Significant Venues :

1 ITGI

Types of Orders Routed to

Venue:

Market orders as percentage of total market orders 36.03 %

Limit orders as percentage of total limit orders 16.81 %

Other orders as percentage of total other orders 0.00 %

Material aspects of Relationship with

Venue:

This Contra will route orders on our behalf to market centers of their own choosing based on best-execution.

2 CGMI

Types of Orders Routed to

Venue:

Market orders as percentage of total market orders	33.82 %
Limit orders as percentage of total limit orders	17.06 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with

Venue:

This Contra will route orders on our behalf to market centers of their own choosing based on best-execution.

3 BARC

Types of Orders Routed to

Venue:

Market orders as percentage of total market orders	1.10 %
Limit orders as percentage of total limit orders	24.23 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with

Venue:

This market center may provide rebates for providing liquidity which may offset charges for taking liquidity.

4 ARCA

Types of Orders Routed to

Venue:

Market orders as percentage of total market orders	0.00 %
Limit orders as percentage of total limit orders	15.70 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with

Venue:

This market center may provide rebates for providing liquidity which may offset charges for taking liquidity.

This may be the case for all market centers which are ECNs.

5 CTDL

Types of Orders Routed to

Venue:

Market orders as percentage of total market orders	26.10 %
Limit orders as percentage of total limit orders	7.42 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with

Venue:

This Contra will route orders on our behalf to market centers of their own choosing based on best-execution.

Exchange Listed Options

Summary Statistics

Non-directed orders as percentage of total customer orders	100.0 %
--	---------

Market orders as percentage of total non-directed orders	12.20 %
Limit orders as percentage of total non-directed orders	87.80 %
Other orders as percentage of total non-directed orders	0.00 %

Venues Receiving Significant Percentage of Total Non-Directed Orders:

PERT	82.6 %
AMEX	17.4 %

Information Concerning Significant Venues :

1 PERT

Types of Orders Routed to
Venue:

Market orders as percentage of total market orders	28.89 %
Limit orders as percentage of total limit orders	90.09 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with
Venue:

This Contra will route orders on our behalf to market centers of their own choosing based on best-execution.

2 AMEX

Types of Orders Routed to
Venue:

Market orders as percentage of total market orders	71.11 %
Limit orders as percentage of total limit orders	9.91 %
Other orders as percentage of total other orders	0.00 %

Material aspects of Relationship with
Venue:

This venue denotes orders sent to the floor of the AMEX where a \$2 Broker may handle the order.